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PATENT  
040230

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barany et al.

Examiner:

Serial No.: 10/806,835

Art Unit: 3624

Filing Date: March 23, 2004

Title: TRANSACTION STRUCTURE FOR ISSUING INFLATION-LINKED SECURITIES

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08. Copies of the non-U.S. patent references are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

The Commissioner is hereby authorized to charge any fees necessary for consideration of this *Information Disclosure Statement* to Account No. 11-1110.

Respectfully submitted,

Date: June 25, 2004

  
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PTO/SB/PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		<b>Application Number</b>	10/806,835		
		<b>Filing Date</b>	March 23, 2004		
		<b>First Named Inventor</b>	Barany		
		<b>Art Unit</b>	3624		
		<b>Examiner Name</b>			
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	040230

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials*</b>	<b>Cite No.<sup>1</sup></b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</b>	<b>T<sup>2</sup></b>
		Wrase, "Inflation-Indexed Bonds: How Do They Work?," <i>Business Review</i> , July/August 1997.	
		Van Bezooeyen, et al., "Investing in Inflation - An Overview," <i>Morgan Stanley Global Pensions Quarterly</i> , November 2001.	
		Stump et al., "Inflation-Indexed Bonds: A Primer for Finance Officers," <i>Government Finance Review</i> , February 2003.	

<b>Examiner Signature</b>	/William Rankins/	<b>Date Considered</b>	11/27/2007
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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